

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MNFRAME.005A4	APPLICATION NO. 08/942,214
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		JUN 18 1998 JCC APPLICANT Johnson et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE October 1, 1997	GROUP 2785

U.S. PATENT DOCUMENTS

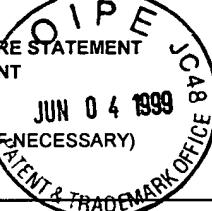
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,123,017	06/16/92	Simpkins et al.	371	15.1	98 JUN 23 PM : 17 98
	5,261,094	11/09/93	Everson et al.	395	600	RECEIVED GROUP 2 JUN 23 PM : 17 98
	5,272,584	12/21/93	Austruy et al.	361	58	RECEIVED
	5,337,413	08/09/94	Lui et al.	395	275	RECEIVED
	5,379,409	01/03/95	Ishikawa	395	575	RECEIVED
	5,485,607	01/16/96	Lomet et al.	395	600	RECEIVED
	5,564,024	10/08/96	Pemberton	395	283	RECEIVED
	5,604,873	02/18/97	Fite et al.	395	283	RECEIVED
	5,608,876	03/04/97	Cohen et al.	395	288	RECEIVED
	5,644,731	07/01/97	Liencies et al.	395	288	RECEIVED

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
1	NetFRAME Systems Incorporated, <i>News Release</i> , 3 pages, referring to May 9, 1994, "NetFRAME's New High-Availability ClusterServer Systems Avoid Scheduled as well as Unscheduled Downtime."	
2	NetFRAME Systems Incorporated, <i>datasheet</i> , 2 pages, February 1996, "NF450FT Network Mainframe."	
3	NetFRAME Systems Incorporated, <i>datasheet</i> , 9 pages, March 1996, "NetFRAME Cluster Server 8000."	
4	Herr, et al., <i>Linear Technology Magazine</i> , <i>Design Features</i> , pp. 21-23, June 1997, "Hot Swapping the PCI Bus."	

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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		APPLICANT JOHNSON ET AL.	RECEIVED
		FILING DATE October 1, 1997	GROUP 2785
		JUN 08 1999	

Group 2700

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
n w	A	4,057,847	11/08/77	Lowell et al.	364	200	
	B	4,672,535	06/09/87	Katzman et al.	364	200	
	C	4,769,764	09/06/88	Levanon	364	708	
	D	5,051,720	09/24/91	Kittirutsunetorn	340	310 R	
	E	5,157,663	10/20/92	Major et al.	371	9.1	
	F	5,253,348	10/12/93	Scalise	395	325	
	G	5,266,838	11/30/93	Gerner	307	19	
	H	5,432,946	07/11/95	Allard et al.	395	750	
	I	5,473,499	12/05/95	Weir	361	58	
	J	5,526,289	06/11/96	Dinh et al.	364	557	
	K	5,528,409	06/18/96	Cucci et al.	359	171	
	L	5,546,272	08/13/96	Moss et al.	361	687	
	M	5,559,764	09/24/96	Chen et al.	396	30	
	N	5,572,403	11/05/96	Mills	361	695	
	O	5,598,407	01/28/97	Bud et al.	370	330	
	P	5,621,159	04/15/97	Brown et al.	73	9	
	Q	5,622,221	04/22/97	Genga, Jr. et al.	165	208	
	R	5,652,892	07/29/97	Ugajin	395	750	
	S	5,671,371	09/23/97	Kondo et al.	395	306	
	T	5,737,708	04/07/98	Grob et al.	455	557	
	U	5,742,833	04/21/98	Dea et al.	395	750.05	
	V	5,752,164	05/12/98	Jones	455	33.1	
	W	5,796,580	08/18/98	Komatsu et al.	361	687	
	X	5,778,197	07/07/98	Dunham	395	284	
	Y	5,787,459	07/28/98	Stallmo et al.	711	112	
	Z	5,790,775	08/04/98	Marks et al.	395	182.07	
↓	A1	5,802,305	09/01/98	McKaughan et al.	395	200.57	
n w	B1	5,802,324	09/01/98	Wunderlich et al.	395	281	

EXAMINER <i>n - 5</i>	DATE CONSIDERED <i>8/30/98</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		RECEIVED JUN 08 1999 Group 2700	
(USE SEVERAL SHEETS IF NECESSARY)		APPLICANT JOHNSON ET AL.	
		FILING DATE October 1, 1997	GROUP 2785

U.S. PATENT DOCUMENTS

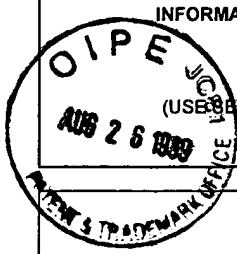
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~w	C1	5,812,748	09/22/98	Ohran et al.	395	182.02	
	D1	5,821,596	10/13/98	Miu et al.	257	419	
	E1	5,835,719	11/10/98	Gibson et al.	395	200.51	
	F1	5,838,932	11/17/98	Alzien	395	308	
	G1	5,852,724	12/22/98	Glenn, II et al.	395	200.69	
	H1	5,875,310	02/23/99	Buckland et al.	395	306	
	I1	5,878,238	03/02/99	Gan et al.	395	308	
	J1	5,881,311	03/09/99	Woods	395	824	
	K1						
	L1						
	M1						
	N1						

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
~w	1	Shanley and Anderson, PCI System Architecture, Third Edition, Chapters 15 & 16, pp. 297-328, CR 1995.
	2	PCI Hot-Plug Specification, Preliminary Revision for Review Only, Revision 0.9, pp. i-vi, and 1-25, March 5, 1997.
	3	SES SCSI-3 Enclosure Services, X3T10/Project 1212-D/Rev 8a, pp. i, iii-x, 1-76, and I-1 (index), January 16, 1997.
~w	4	Compaq Computer Corporation, Technology Brief, pp. 1-13, December 1996, "Where Do I Plug the Cable? Solving the Logical-Physical Slot Numbering Problem."

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EXAMINER	DATE CONSIDERED
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FQRM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MNFRAME.005A4	APPLICATION NO. 08/942,214
		APPLICANT Johnson, et al.	
		FILING DATE 10/01/97	GROUP 2785



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
hw	1	4,692,918	09/08/87	Elliott et al.	370	85	
	2	5,033,048	07/16/91	Pierce et al.	371	21.2	
	3	5,121,500	06/09/92	Arlington et al.	395	750	
	4	5,136,708	08/04/92	Lapourtre et al.	395	650	
	5	5,138,619	08/11/92	Fasang et al.	371	21.1	
	6	5,245,615	09/14/93	Treu	RECEIVED	371	16.5
	7	5,276,863	01/04/94	Heider		395	575
	8	5,280,621	01/18/94	Barnes et al.		395	800
	9	5,388,267	02/07/95	Chan et al.	Group 270	395	700
	10	5,432,715	07/11/95	Shigematsu et al.	364	551.01	
	11	5,438,678	08/01/95	Smith	395	750	
	12	5,463,766	10/31/95	Schiieve et al.	395	650	
	13	5,485,550	01/16/96	Dalton	395	51	
	14	5,513,314	04/30/96	Kandasamy et al.	395	182.04	
	15	5,535,326	07/09/96	Baskey et al.	395	182.02	
	16	5,548,712	08/20/96	Larson et al.	395	182.05	
	17	5,566,339	10/15/96	Perholtz et al.	395	750	
	18	5,579,487	11/26/96	Meyerson et al.	395	280	
	19	5,584,030	12/10/96	Husak et al.	395	750	
	20	5,596,711	01/21/97	Burckhardt et al.	395	182.21	
	21	5,625,238	04/29/97	Ady et al.	307	147	
	22	5,627,962	05/06/97	Goodrum et al.	395	182.11	
	23	5,630,076	05/13/97	Saulpaugh et al.	395	284	
	24	5,631,847	05/20/97	Kikinis	364	514 R	
	25	5,652,839	07/29/97	Giorgio et al.	395	200.11	
	26	5,652,908	07/29/97	Douglas et al.	395	800	
	27	5,655,083	08/05/97	Bagley	395	182.31	
	28	5,664,118	09/02/97	Nishigaki et al.	395	283	

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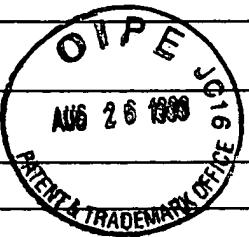
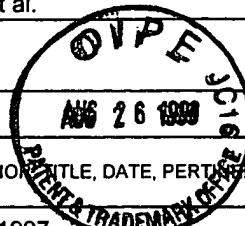
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Johnson, et al.	O I P E AUG 26 1999 P A T E N T & T R A D E M A R K O F F I C E S C 16 G R O U P 2785
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE 10/01/97	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
NW	29	5,668,992	09/16/97	Hammer et al.	395	651		
	30	5,669,009	09/16/97	Buktenica et al.	395	800.35		
	31	5,715,456	02/03/98	Bennett et al.	395	652	02/13/95	
	32	5,727,207	03/10/98	Gates et al.	395	651	09/07/94	
	33	5,732,266	03/24/98	Moore et al.	395	651	09/02/94	
	34	5,758,165	05/26/98	Shuff	395	712	07/07/95	
	35	5,761,085	06/02/98	Giorgio	RECEIVED AUG 31 1999	364	505	11/12/96
	36	5,777,897	07/07/98	Giorgio	AUG 31 1999	364	557	11/26/96
	37	5,781,744	07/14/98	Johnson et al.	395	283	08/25/95	
	38	5,784,555	07/21/98	Stone	Group 2700	395	200.5	04/18/96
	39	5,787,019	07/28/98	Knight et al.	364	550	05/10/96	
	40	5,787,491	07/28/98	Merkin et al.	711	173	01/26/96	
	41	5,797,023	08/18/98	Berman et al.	395	750.06	07/10/97	
	42	5,802,298	09/01/98	Imai et al.	395	200.47	08/26/96	
	43	5,802,592	09/01/98	Chess et al.	711	164	05/31/96	
	44	5,809,287	09/15/98	Stupek, Jr. et al.	395	500	12/17/96	
	45	5,809,311	09/15/98	Jones	395	750.01	06/14/96	
	46	5,815,647	09/29/98	Buckland et al.	395	182.01	05/23/97	
	47	5,852,724	12/22/98	Glenn, II et al.	395	200.69	06/18/96	
	48	5,857,102	01/05/99	McChesney et al.	395	653	03/14/95	
	49	5,875,307	02/23/99	Ma et al.	395	281	08/14/97	
	50	5,884,027	03/16/99	Garbus et al.	395	200.8	06/05/97	
	51	5,889,965	03/30/99	Wallach et al.	395	283	10/01/97	
	52	5,892,928	04/06/99	Wallach et al.	395	283	10/01/97	
↓	53	5,898,888	04/27/99	Guthrie et al.	395	308	12/13/96	
↓N	54	5,905,867	05/18/99	Giorgio	395	200.54	11/12/96	

EXAMINER <i>D. J.</i>	DATE CONSIDERED <i>8/3/00</i>
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
rw	55 Davis, T, Usenet post to alt.msdos.programmer, April 1997, "Re: How do I create an FDISK batch file?"
/	56 Davis, T., Usenet post to alt.msdos.batch, April 1997, "Re: Need help with automating FDISK and FORMAT . . ."
/	57 NetFrame Systems Incorporated, Doc. No. 78-1000226-01, pp. 1-2, 5-8, 359-404, and 471-512, April 1996, "NetFrame Clustered Multiprocessing Software: NW0496 DC-ROM for Novell® NetWare® 4.1 SMP, 4.1, and 3.12."
/	58 Shanley, and Anderson, PCI System Architecture, Third Edition, Chapter 15, pp. 297-302, Copyright 1995, "Intro To Configuration Address Space."
/	59 Shanley, and Anderson, PCI System Architecture, Third Edition, Chapter 16, pp. 303-328, Copyright 1995, "Configuration Transactions."
/	60 Sun Microsystems Computer Company, Part No. 802-5355-10, Rev. A, May 1996, "Solstice SyMON User's Guid."
hw	61 Sun Microsystems, Part No. 802-6569-11, Release 1.0.1, November 1996, "Remote Systems Diagnostics Installation & User Guide."

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Group 2700

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8/30/99	
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